

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,617	02/21/2002	Steffen Panzner	101215-81

27387
 BRUCE LONDA
 NORRIS, MC LAUGHLIN & MARCUS, P.A.
 220 EAST 42ND STREET, 30TH FLOOR
 NEW YORK, NY 10017



CONFIRMATION NO. 9573

FORMALITIES LETTER



OC00000007920672

Date Mailed: 04/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

07/03/2002 RIBERHE 00000097 141263 10081617

01 FC:105 130.00 CH

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

~~Adjustment date: 07/03/2002~~
 07/03/2002 RIBERHE 00000097 141263 10081617
 01 FC:105 130.00 CH

~~01 FC:105 130.00 CH~~ The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

07/03/2002 RIBERHE 00000097 141263 10081617

01 FC:205 65.00 CH

02 FC:139 130.00 CH

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$260** for a Large Entity

*A copy of this notice **MUST** be returned with the reply.*

Y.G.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

T.D
MPC
PATENTS

MAILING CERTIFICATION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on June 24, 2002



3
Bruce S. Londa

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty's Docket No. 101215-81

Applicant : Steffen Panzner et al.

Appln. Number : 10/081,617

Filed : February 21, 2002

For : Amphoteric Liposomes and Their Use

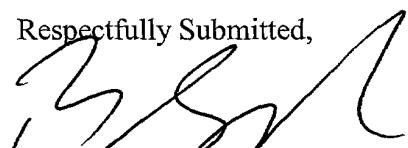
BOX Missing Parts
Hon. Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

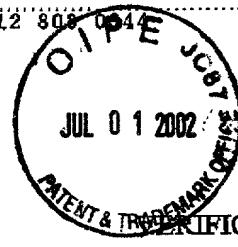
In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(d) mailed April 22, 2002, submitted herewith is

- the declaration required under 37 CFR 1.63;
- Verified English translation of the application as filed including the drawings;
- assignment with cover sheet;
- Fee Transmittal form authorizing payment of the surcharge for the late filing of the declaration and translation and assignment recordal fee to deposit account no. 14-1263;
- Preliminary Amendment with marked-up copy of amended claims; and
- copy of Notice of Missing Parts

Respectfully Submitted,

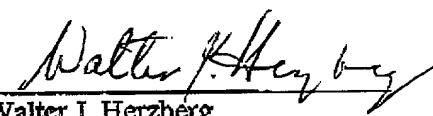


3
Bruce S. Londa (33,531)
Attorney Applicant
Norris, McLaughlin & Marcus P.A.
220 East 42nd Street, 30th Floor
New York, N.Y. 10017
Telephone: (212)808-0700
Facsimile: (212)808-0844

PATENT & TRADEMARK OFFICE
VERIFICATION OF TRANSLATION

I, Walter J. Herzberg, 5-21 Elizabeth Street, Fair Lawn, New Jersey 07410, United States of America, declare that I am conversant with the German and English languages and that I am the translator of the documents attached and certify to the best of my knowledge and belief the accompanying document is a true and correct translation of the text of German patent application number 101 09 897.9 filed February 21, 2001.

Signed this 24th day of June, 2002


Walter J. Herzberg